

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-036001	Application No. Not yet assigned
	Applicant Shunpei Yamazaki et al.		
	Filing Date May 9, 2001	Group Art Unit unknown	

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09/05/1415

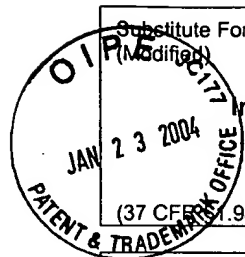
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U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>[Signature]</i>	AD	JP 11-345264	Dec. 14, 1999	Japan	—	—		
<i>[Signature]</i>	AE	JP 2001-5945	Jan. 12, 2001	Japan	—	—		
<i>[Signature]</i>	AF	JP 2000-276445	Oct. 6, 2000	Japan	—	—		
<i>[Signature]</i>	AG	GB2 348 309	Sept. 27, 2000	Great Britain	—	—		

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AH	

Examiner Signature <i>[Signature]</i>	Date Considered 8/8/03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-036001	Application No. 09/851,415
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR 1.98(b))		Applicant Shunpei Yamazaki et al.	
		Filing Date May 9, 2001	Group Art Unit 2673

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
ll	AA	2001-0031074	10/18/2001	Yamazaki et al.			
ll	AB	2002-0001400	01/03/2002	Yamazaki et al.			
	AC						
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Foreign Patent Documents or Published Foreign Patent Applications

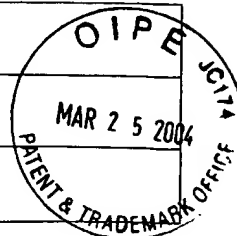
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
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	AS	
	AT	

Examiner Signature <i>Vincent Karalich</i>	Date Considered 5/19/04
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		Filing Date May 9, 2001	Group Art Unit 2673



U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
JAC	AA	6,219,793	04/17/2001	Li et al.			
JAC	AB	6,657,538	12/02/2003	Ritter			
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	AI						
	AJ						
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
WJ	AL	WO-98-11750	19-03-98	WIPO				
WJ	AM	WO-99-24938	20-05-99	WIPO			X	
WJ	AN	WO-99-28701	10-06-99	WIPO				
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
JAC	AQ	European Search Report, , EP 01 11 1375, February 2, 2004.
	AR	
	AS	
	AT	

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>UJ</i>	AA	2001/0047479	11/29/2001	Bromba et al.			
<i>UJ</i>	AB	4,993,068	02/12/1991	Piosenka et al.			
<i>UJ</i>	AC	5,280,527	01/18/1994	Gullman et al.			
<i>UJ</i>	AD	5,764,789	06/09/1998	Pare, Jr. et al.			
	AE						

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>UJ</i>	AF	DE-19809006	09/09/1999	Germany			ABS	
<i>UJ</i>	AG	DE-20008345 (Utility Model)	08/17/2000	Germany			ABS	
<i>UJ</i>	AH	EP-0593386	04/20/1994	Europe				
<i>UJ</i>	AI	EP-0986209	03/15/2000	Europe				
<i>UJ</i>	AJ	JP-06-186585	12/21/1992	Japan			ABS	
<i>UJ</i>	AK	JP-11-203041	07/30/1999	Japan			ABS	
<i>UJ</i>	AL	UK-2312040	10/15/1997	United Kingdom				
<i>UJ</i>	AM	WO-00-17823	09/06/1999	WIPO			ABS	
<i>UJ</i>	AN	WO-98-40962	09/17/1998	WIPO				
<i>UJ</i>	AO	WO-98-50875	11/12/1998	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>UJ</i>	AP	Kim, Hyun-Jung, "Biometrics, Is it a Viable Proposition for Identity Authentication and Access Control?", Computer Security Research Centre, Vol. 14, No. 3, pages 205-214, 1/1/1995.
<i>UJ</i>	AQ	Kobayashi, Tetsuji, A Fingerprint Image Recognition Method for Network User Identification", Nippon Telegraph and Telephone Corporation, pages 369-372, 5/28/1992.
<i>UJ</i>	AR	Stockel, Anna, "Securing Data and Financial Transactions", Identix Incorporated, pages 397-401, 10/18/1995.
	AS	

Examiner Signature <i>Vincent A. Oralist</i>	Date Considered <i>8/22/05</i>
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U.S. Patent Documents

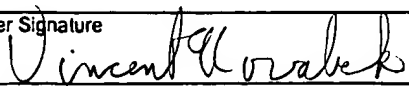
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
U/L	AA	2001/0047479	11/29/2001	Bromba et al.			
U/L	AB	4,993,068	02/12/1991	Piosenka et al.			
U/L	AC	5,280,527	01/18/1994	Gullman et al.			
U/L	AD	5,764,789	06/09/1998	Pare, Jr. et al.			
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U/L	AF	DE-19809006	09/09/1999	Germany			ABS	
U/L	AG	DE-20008345 (Utility Model)	08/17/2000	Germany			ABS	
U/L	AH	EP-0593386	04/20/1994	Europe				
U/L	AI	EP-0986209	03/15/2000	Europe				
U/L	AJ	JP-06-186585	12/21/1992	Japan			ABS	
U/L	AK	JP-11-203041	07/30/1999	Japan			ABS	
U/L	AL	UK-2312040	10/15/1997	United Kingdom				
U/L	AM	WO-00-17823	03/30/2000	WIPO			ABS	
U/L	AN	WO-98-40962	09/17/1998	WIPO				
U/L	AO	WO-98-50875	11/12/1998	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)

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U/L	AP	Kim, Hyun-Jung, "Biometrics, Is it a Viable Proposition for Identity Authentication and Access Control?", Computer Security Research Centre, Vol. 14, No. 3, pages 205-214, 1/1/1995.
U/L	AQ	Kobayashi, Tetsuji, A Fingerprint Image Recognition Method for Network User Identification", Nippon Telegraph and Telephone Corporation, pages 369-372, 5/28/1992.
U/L	AR	Stockel, Anna, "Securing Data and Financial Transactions", Identix Incorporated, pages 397-401, 10/18/1995.
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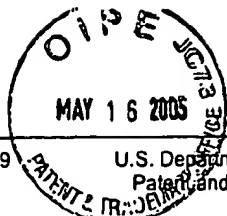
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							Yes	No
	AL	EP-1148446	10/24/2001	EUROPE				
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Office Action (Application No. 01-111-375.0; EP4906), dated December 27, 2004
	AR	
	AS	
	AT	

Examiner Signature <i>Vincent P. Corvick</i>	Date Considered <i>8/22/05</i>
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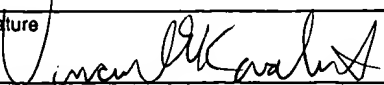
**Information Disclosure Statement
by Applicant**
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
M	AA	2001-0030704	10/18/2001	Kimura			04/09/2001
M	AB	2002-0011972	01/31/2002	Yamazaki et al.			01/17/2001
M	AC	6,243,155	06/05/2001	Zhang et al.			10/19/1998
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